Investor Complaint Data to be displayed by Registered Merchant Bankers

Format for Investor Complaints Data to be disclosed by Registered Merchant Bankers on their website on monthly basis:

| Sr. No. | Received From | Pending as at the end of last month | Received during the particula r month | Resolved during the particular month* | Total Pending during the particular month# | Pending complaints > 1 month | Average Resolution time^ (in days) |
|-------------|------------------|---|---|--|--|------------------------------------|---|
| 1. | Directly | 0 | 0 | 0 | 0 | 0 | 0 |
| | from | | | | | | |
| | Investors | | | | | | |
| 2. | SEBI | 0 | 0 | 0 | 0 | 0 | 0 |
| | (SCORES) | | | | | | |
| 3. | Stock | 0 | 0 | 0 | 0 | 0 | 0 |
| | Exchanges | | | | | | |
| | (if relevant) | | | | | | |
| 4. | Other | 0 | 0 | 0 | 0 | 0 | 0 |
| | Sources | | | | | | |
| | (if any) | | | | | | |
| Grand Total | | 0 | 0 | 0 | 0 | 0 | 0 |

Data for the month ending - July 31, 2024

Trend of monthly disposal of complaints (For 5 months on rolling basis)

| Sr. No. | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|------------|-------------|---|---|---|---|
| 1. | March 2024 | 0 | 0 | 0 | 0 |
| 2. | April 2024 | 0 | 0 | 0 | 0 |
| 3. | May 2024 | 0 | 0 | 0 | 0 |
| 4. | June 2024 | 0 | 0 | 0 | 0 |
| 5. | July 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| Sr. No. | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|---------|-------------|--|---|---|---|
| 1. | 2020-21 | Nil | Nil | Nil | Nil |
| 2. | 2021-22 | Nil | Nil | Nil | Nil |
| 3. | 2022-23 | Nil | Nil | Nil | Nil |
| 4. | 2023-24 | Nil | Nil | Nil | Nil |
| 5. | 2024-25 | Nil | Nil | Nil | Nil |
| | Grand Total | Nil | Nil | Nil | Nil |

Trend of annual disposal of complaints (For 5 years on rolling basis)-